

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: Wilfred J. Samson, Hoa Ngyuen, Mike Lee, Brady Esch, Eric Olsen, and Jeff Valko

for *METHOD AND APPARATUS FOR PROVIDING HEAT EXCHANGE WITHIN A CATHETER BODY*, the specification of which is being transmitted herewith.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

**Section 1. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application SN.: 09/564,958, filed on May 4, 2000.

The following references were submitted to, and/or cited by, the Office in the prior application and, therefore, are not required to be provided in this application:

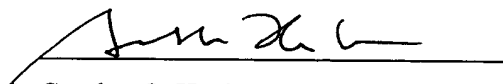
Please see attached Form PTO-1449 and Form PTO-892 which list the cited references.

**Section 2. Identification of Person(s) Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 8/4/03



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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |      | Application Number       | 09/907,354      |
|   |      | Filing Date              | 07/17/2001      |
|   |      | First Named Inventor     | Joseph I. Rubin |
|   |      | Group Art Unit           | 2811            |
|   |      | Examiner Name            |                 |
|   |      | Attorney Docket Number   | ULTEC.57706     |
| Sheet 1   | of 1 |                          |                 |

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| Examiner<br>Signature |  | Date<br>Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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|                                   |                                       |  |             |
|-----------------------------------|---------------------------------------|--|-------------|
| <b>Notice of References Cited</b> | Application/Control No.<br>09/907,354 | Applicant(s)/Patent Under<br>Reexamination<br>RUBIN, JOSEPH I. |             |
|                                   | Examiner<br>Khiem D Nguyen            | Art Unit<br>2823   | Page 1 of 1 |

**U.S. PATENT DOCUMENTS**

| * |   | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY | Name           | Classification |
|---|---|--|-----------------|----------------|----------------|
| ✓ | A | US-5,355,755                                     | 10-1994         | Sakata et al.  |                |
| ✓ | B | US-5,424,254                                     | 06-1995         | Pascale Damiot |                |
|   | C | US-  |                 |                |                |
|   | D | US-  |                 |                |                |
|   | E | US-  |                 |                |                |
|   | F | US-  |                 |                |                |
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|   | L | US-  |                 |                |                |
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

| * |   | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
|   | U |   |
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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